United States District Court Southern District of New York

CM/ECF Party Name Data Conventions Manual

Guide for Attorneys and Court Staff on How to Enter Party Names

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Introduction

This guide contains the preferred style practices for all users of the CM ECF application in the Southern District of New York to properly enter party names. The intention of the guide is to make everyone's task easier, whether they are entering data or inquiring into the CM/ECF database, thus displaying information in more uniform and predictable ways, as well as reducing confusion and errors.

The purpose of establishing conventions and standards for party name entries is to facilitate litigation searches and to avoid duplication of party names in the database. The basic rule for party name entry is to spell it exactly as it appears on the pleading. It is important to do a thorough search to avoid entering duplicate information. Searches are conducted by entering only the first few letters of the last name of a person, business, municipality, organization, or agency. The information will be retrieved only as it appears on the system database.

Searching For a Party in CM ECF

It is very important that you search ECF for the parties in your case to determine whether they have previously been involved in litigation in this district and are therefore already in the ECF system. Please conduct a thorough search and do not create a new party unless absolutely necessary.

Searching for a Party in ECF

- 1. Search for and add parties to the case in the precise order in which they appear in the pleading.
- 2. In the Last / Business Name field, enter two or more consecutive characters, then click the [Search] button. *Example*: for the name "Anderson," "Ande" would work as a search parameter.
- 3. A list of party names matching your criteria may appear. Click on the name of the correct party to highlight it and click the [Select Party] button.
- 4. If you search finds no matches in the ECF system, you will receive a message indicating the search produced no results and no names will appear in the search results field. If this occurs, broaden the search parameters and try again.

Party Name Search Hints

- Enter at least two consecutive letters or characters of a party's name.
- The search is not case sensitive, but party names should be entered with initial caps and lower case letters. For example: Enter Smith or smith.
- The name may have been abbreviated when it was added to the database, especially state names.
- You can enter a wild card before letters, to take the place of other letters. For example: Enter "*am" and find Bob Cunningham and Judy Amhurst, but not Steven Armstrong since the letters are not consecutive. A wild card (*) is not required at the end of a search string.
- When searching for a party DO NOT use an asterisk or star at the beginning of the word. But an asterisk or star may be used before or within search strings. For example, Villa*; Agricult*re Dep*, Dep*t of Justice.
- Try alternate searches if you first search is not successful. First, search for the entire party's name (i.e., World Communications). If that is not successful, you can search for part of the party's name (i.e. World Comm or just World).
- Always begin with a broad search and narrow it down by entering more of the party name if the results are too voluminous.
- When searching, if just the last name is entered, CM ECF may return a list of a hundred parties with that last name. However, if you know the first name or initial, you can add that to the first name field to narrow your search. For example, instead of entering Grant only, if you search for Carol Grant, you will retrieve Carol A. Grant and Carolyn Grant.
- If there are articles in the title such as "A" or "The", you may or may not need to include the article in the search. For example, a search request for The Daily News may not produce a result. But a search request for Daily News will be successful. Likewise a search for Daily News may not produce a result; but a search for The Daily News will be successful.
- Search by last name "Doe", if you have parties referenced as "Jane Doe, John Doe, Doe, or Does".

Creating a New Party in CM ECF

It is very important that you search ECF for the parties in your case to determine whether they have previously been involved in litigation in this district and are therefore already in the ECF system. Please conduct a thorough search and do not create a new party unless absolutely necessary.

Creating a New Party in ECF

If your broadest search does not yield your party, click the [Create New Party] button to add the party to the system.

Please follow the party name data conventions in this manual when adding a new party in ECF.

If unsure how a specific party name should be entered, please contact the Clerk's Office Help Desk for guidance.

Proper Format and Style Conventions for Names – The Basics

These style conventions apply to all names entered in the CM/ECF application:

- If the name appears on the list in the database, select it to help eliminate different versions or duplicates of the same party name.
- Use upper and lower case characters for all names and capitalize the first letter in a proper noun. (*e.g.* Wallace D. Smith, United Insurance Services)
- Do not use all capital letters unless all or part of the business name is in all capitals, such as IBM; in that case, only use capitals for the portion of the name that is in all capitals.
- If a business name begins with an article (A, An or The), omit the article. (*e.g.* The Wood Bros. Manufacturing Corp.)
- Use single spacing between all names and initials and punctuation if appropriate (*e.g.* J. J. Jones, Smith, Inc., CW Bradford Ltd., Patrick MacDougal, Kathleen O'Brien)
- When typing names that have upper and lower case letters or hyphens, do not insert spaces. Use hyphens in the last name or first name field when necessary. (*e.g.* Patrick MacDougal, Kathleen O'Brien, Mary Smith-Baker).
- Business names should be entered entirely in the Last / Business Name field. Do not use the First Name or Middle Name field when entering business names. The Last / Business Name field fits up to 200 characters.
- Do not use the ampersand sign (&) for "and" unless it is part of a company name on the petition or pleading. (*e.g.* B & D Company, Cameron & Associates)
- Enter US and USA without any spaces. (*e.g.* USDA ; US Department of Agriculture, US Attorney)

If unsure how a specific party name should be entered, please contact the Clerk's Office Help Desk for guidance, at (212) 805-0136, Option #2.

Proper Format and Style Conventions for Names – Optional Fields

It is not necessary to complete all the fields listed on the Party Information screen. See details as follows:

Generation

Enter the generation information (e.g. Jr., Sr., III, IV, etc.) in the generation field.

<u>Title</u>

If a party has a title, add it (*e.g.* Dr., Rev., Nurse Practitioner, Lead Foreman, Official Administrator, Warden, Correctional Officer, etc.) in the Title Field.

NOTE: The information entered in the title field (*e.g.* Dr., Rev., etc.), will only appear on docket sheet, not in the docket text.

<u>Role</u>

Select the appropriate party role (*e.g.* plaintiff or defendant). Please refer to the SDNY Statistical Case Opening Codes Manual for a list of available party role codes.

The role will default to defendant; change the role, as necessary.

Address Fields

Do not add the address, phone or fax information for the party, unless they are pro se litigant. Ensure the address fields are blank, and delete the fields, if needed, before accepting the party information screen.

If the party is pro se and a prisoner, select a prison from the drop-down list in the Prison field.

INDIVIDUAL PERSONS NAMES

When entering an individual's name, enter the full last name, full first name, middle name (or initial) in the designated fields. Do not enter names in ALL CAPS

Individuals

Enter the full last name, full first name, middle name (or initial) in the designated fields.

Example: Mary Jane Smith

Last / Business Name: Smith First Name: Mary Middle Name: Jane Generation: Title: Party text:

Enter the full last name, full first name, middle name (or initial) in the designated fields. If the middle name is unknown, then leave it blank.

Example: Mary Smith

Last / Business Name:	Smith
First Name:	Mary
Middle Name:	(Leave Blank)
Generation:	
Title:	
Party text:	

Enter the full last name, full first name, middle name (or initial) in the designated fields. If the full first name is unknown and or the middle name is unknown, then enter the initials.

Example: M. J. Smith

Last / Business Name :	Smith
First Name:	M.
Middle Name:	J.
Generation:	
Title:	
Party text:	

Enter the full last name, full first name, middle name (or initial) in the designated fields. If the party has multiple middle names, enter the multiple middle names in the Middle Name field.

Example: John Michael Kramer Martin

Last / Business Name: First Name: Middle Name: Generation: Title: Party text:

Martin John Michael Kramer

Individuals Sued in Individual and Official Capacity

When entering a party being sued as an individual and in their official capacity, enter the party twice.

Enter the full last name, full first name, middle name (or initial) in the designated fields. If the party has a title, enter the title of the party in the Title field. Enter the additional text in the Party Text field.

Example: Lieutenant George Smith, Individually and in his official capacity

Last / Business Name: First Name:	Smith
Middle Name:	George (Leave Blank)
Generation: Title:	Lieutenant
Party text:	Individually

Last / Business Name:	Smith
First Name:	George
Middle Name:	(Leave Blank)
Generation:	
Title:	Lieutenant
Party text:	in his official capacity

Individuals in Class Action Cases

When entering a party who is filing an action individually and on behalf of all others

similarly situated, enter the party once.

Enter the full last name, full first name, middle name (or initial) in the designated fields. Enter the additional text in the Party Text field.

Example: Jane W. Walton, Individually and on behalf of all others similarly situated

Last / Business Name:	Walton
First Name:	Jane
Middle Name:	W.
Generation:	
Title:	
Party text:	Individually and on behalf of all others similarly situated

Enter the full last name, full first name, middle name (or initial) in the designated fields. If the middle name is unknown, then leave it blank. Enter the additional text in the Party Text field.

Example: Samuel Baton, Individually and on behalf of all others similarly situated

Last / Business Name:	Baton
First Name:	Samuel
Middle Name:	(Leave Blank)
Generation:	
Title:	
Party text:	Individually and on behalf of all others similarly
	situated

Individuals as Representatives of a Deceased Person

When entering a party who is filing an action individually and on behalf of a deceased person, enter the party twice. The deceased is not a party; the representative is. The estate is not a party unless specifically designated in the case caption. If the estate is a party see the section titled Estates Information, for how to enter an estate party.

Enter the full last name, full first name, middle name (or initial) in the designated fields. Enter the additional text in the Party Text field.

Example: Jane W. Walton, Individually and as surviving spouse of John L. Walton

Last / Business Name:	Walton
First Name:	Jane
Middle Name:	W.
Generation:	
Title:	
Party text:	Individually
Last / Business Name:	Walton
First Name:	Jane
Middle Name:	W.
Generation:	
Title:	
Party text:	as surviving spouse of John L. Walton

Individuals who are Prisoners

When entering a party who is a prisoner, include the Prisoner ID Number in the Prisoner ID field, if it is known.

Enter the full last name, full first name, middle name (or initial) in the designated fields. Enter the Prisoner ID Number in the Prisoner ID field, if it is known. Enter the numbers only, excluding "#."

Example: Robert A. Smith, #12345-678

Last / Business Name:	Smith
First Name:	Robert
Middle Name:	A.
Generation:	
Title:	
Prisoner ID:	12345-678
Party text:	

INDIVIDUAL PERSONS WITH SPANISH SURNAMES

When entering parties who have Spanish surnames, the father's surname or, in the case of a married woman, the husband's surname, is always used as the control name.

Males and Single Females

Example: Jesus Adolfo Villegas Navarro

Last / Business Name: First Name: Middle Name: Generation: Title: Party text: Villegas Navarro Jesus Adolfo

Example: Maria Isabel Aguirre Villegas

Last / Business Name: First Name: Middle Name: Generation: Title: Party text: Aguirre Villegas Maria Isabel

Example: Maria Isabel Hernandez Puertodelcampo

Last / Business Name: First Name: Middle Name: Generation: Title: Party text: Hernandez Puertodelcampo Maria Isabel

Married Females

For married women, the "de" in lower-case letters indicates that the woman is married to the man whose surname follows. This surname is the control name for this party. Consequently, for the example shown below, the control name is Aguirre. Since the woman's maiden name and the word "de" are really an important part of the "last name" of this party, they follow the husband's surname and are separated from it by a hyphen, to indicate that the order of the

last names has been reversed.

Example: Delores Villegas de Aguirre

Last / Business Name: First Name: Middle Name: Generation: Title: Party text: Villegas de Aguirre Delores

Widowed Females

For widows, the "Vda. de" indicates that the woman was married to the deceased man whose surname follows. Be sure to include the words "Vda de" in the last name field.

Example: Ramona Navarro Vda de Villegas

Last / Business Name: First Name: Middle Name: Generation: Title: Party text: Navarro Vda de Villegas Ramona

BUSINESS NAMES

When entering a business name, enter the full and complete name as referenced in the pleading in the Last / Business Name field only. Do not enter names in ALL CAPS, unless the name is in all caps (*e.g.* FTD).

Business Names without Punctuations or Abbreviations

Enter the full and complete agency/business name as referenced in the pleading in the Last / Business Name field only.

Example: One Beacon Insurance Company

Last / Business Name:	One Beacon Insurance Company
First:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: Hollingsworth Broadcasting Company of Southern California

Last / Business Name:	Hollingsworth Broadcasting Company of Southern California
First:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Business Names with Punctuations or Abbreviations

Enter the full and complete agency/business name as referenced in the pleading in the Last / Business Name field only, include proper punctuation.

Example: Wood Bros. Manufacturing Corp.

Last / Business Name:	Wood Bros. Manufacturing Corp.
First:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)

Party text:

Example: U.S. Metal Corporation

Last / Business Name:	U.S. Metal Corporation
First:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Enter the full and complete agency/business name as referenced in the pleading in the Last / Business Name field only, avoid using abbreviations for the first word, unless an abbreviation is necessary to properly identify the business.

Example: Int'l Credit Bank

Last / Business Name:	Int'l Credit Bank
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Business Names that Begins with an Article (A, An, The)

Enter the full and complete agency/business name as referenced in the pleading in the Last / Business Name field only. Exclude from the entry of business name any leading "A", "An" or "The."

Example: The Wood Bros. Manufacturing Corp.

Last / Business Name:	Wood Bros. Manufacturing Corp.
First:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: The Smith & Witherspoon Restaurant Group, Inc.

Last / Business Name:	Smith & Witherspoon Restaurant Group, Inc.
First:	(Leave Blank)

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Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title: Party text:	(Leave Blank)

Businesses Using a Person's Name and Professional Title

Businesses using a person's name may include the person's professional title such as D.D.S., M.D., or Ph.D. When a professional title is part of a business name, enter the full and complete agency/business name as referenced in the pleading in the Last / Business Name field only.

Example: John Smith, D.D.S.

Last / Business Name:	John Smith, D.D.S.
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

CONTENTS NAMES

When entering the name of contents or valuables as a party, enter the contents and valuables name as referenced in the pleading in the Last / Business Name field only. Exclude from the entry of contents and valuables names any leading "The."

Example: The Contents of One Container #123456 including 1000 Sony Portable DVD Players, 220 GE Cash Registers and 5000 Sharp Printing Calculators

Last / Business Name:	Contents of One Container #123456 including 1000 Sony Portable DVD Players, 220 GE Cash Registers and 5000 Sharp Printing Calculators
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	
	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: Contents of a First Avenue Bank Account #123456 held in the name of John Smith

Last / Business Name:	Contents of a First Avenue Bank Account #123456 held in the name of John Smith
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

CORRECTIONAL FACILITIES

When entering the names of correctional facilities, enter the names of the correctional facilities, in the Last / Business Name field only. If the name of the correctional facility is abbreviated, spell out the name of the correctional facility.

Example: Attica Correctional Facility

Last / Business Name:	Attica Correctional Facility
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: MCC

Last / Business Name:	Metropolitan Correctional Center
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: Dutchess County Jail

Last / Business Name:	Dutchess County Jail
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

COURTS AND PERSONNEL NAMES INFORMATION

When entering the names of courts and their personnel as a party, enter the court name and personnel as referenced in the pleading.

Example: Bronx County Court

Last /Business Name:	Bronx County Clerk
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: Civil Court City of New York

Last /Business Name:	Civil Court City of New York
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: Criminal Court City of New York

Last /Business Name:	Criminal Court City of New York
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: Chief Clerk of Court of Claims

Last /Business Name: First Name: Middle Name: Generation: Title: Party text: Chief Clerk of Court of Claims (Leave Blank) (Leave Blank) (Leave Blank) (Leave Blank)

DOMAIN NAMES

When entering domain names as a party, enter the domain names in the Last / Business Name field only as referenced in the pleading. Include the periods (or dots as they are known in the computer world).

Example: Facebook.com

Last /Business Name:	Facebook.com
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: FTD.com, Inc.

Last /Business Name:	FTD.com, Inc.
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: www.twoifbysea.COM

Last /Business Name:	www.twoifbysea.com
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: http://www.oneifbyland.net

Last /Business Name:	http://www.oneifbyland.net
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)

Party text:

ESTATE NAMES

When entering the estate of a deceased as a party, enter the key words "Estate of" followed by the name of the deceased in the Last / Business Name field (only). Type the descriptive information in the Party text field. Exclude from the entry of estate names any leading "The."

Example: Estate of John A. Goldsmith, deceased

Last / Business Name:	Estate of John A. Goldsmith
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	Deceased

Example: The Estate of Jane E. Smith, deceased

Last / Business Name:	Estate of Jane E. Smith
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	Deceased

Example: The Estate of late former Third Avenue Bank President, John N. Smith

Estate of John N. Smith
(Leave Blank)
(Leave Blank)
(Leave Blank)
(Leave Blank)
late former Third Avenue Bank President

EX REL

When a person sues on behalf of the USA as "ex rel", add each name separately with the party role "plaintiff"

Example: United States of America ex rel John Smith

Last / Business Name:	USA
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	ex rel

Last / Business Name: First Name: Middle Name: Generation: Title: Party text: Smith John

GOVERNMENT ENTITIES – FEDERAL GOVERNMENT

When entering the US Government as a party, enter the US and USA acronyms without any spaces and without any periods.

United States Government

If the litigant is the US, U.S. or U.S.A., enter the US and USA acronyms without any spaces and without any periods in the Last / Business Name field.

Example: US or U.S.

Last / Business Name :	US
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: USA or U.S.A.

Last / Business Name:	USA
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: United States

Last / Business Name:	United States
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: United States of America

Last / Business Name:	United States of America
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)

Party text:

United States Government Agencies

When entering an agency name as a party, enter the agency name in the Last / Business Name field only.

Example: Social Security Administration

Last / Business Name: First Name: Middle Name: Generation: Title: Party text: Social Security Administration (Leave Blank) (Leave Blank) (Leave Blank) (Leave Blank)

If the agency name is an acronym, spell out the name of the agency. If a District Office or Branch Office is specified for an agency; enter the District Office or Branch Office information in the Party text field.

Example: SEC

Last / Business Name:	Securities and Exchange Commission
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: USPS

Last / Business Name:	US Postal Service
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: USA Food and Drug Administration

Last / Business Name:	US Food and Drug Administration
First Name:	(Leave Blank)

Middle Name:

(Leave Blank)

Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: INS, at New York Office

Last / Business Name:	Immigration and Naturalization Service
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	New York Office

Example: FBI Office of New York

Last / Business Name:	Federal Bureau of Investigation
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	New York Office

United States Government Officials

If the head of a US agency is sued in his/her official capacity, enter the name of the official in the same way that the name of an individual is entered, then type the descriptive information in the Party text field.

Example: Michael J. Astrue, Commissioner of Social Security Administration

Last / Business Name:	Astrue
First Name:	Michael
Middle: Name:	J.
Generation:	
Title:	
Party text:	Commissioner of Social Security Administration

Example: Commissioner of Social Security Administration

Last / Business Name:	Commissioner of Social Security Administration
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)

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Generation: Title: (Leave Blank) (Leave Blank)

Party text:

Example: Social Security Administration Office of Disability Adjudication and Review

Last / Business Name:	Social Security Administration
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	Office of Disability Adjudication and Review

Example: Eric H. Holder, Jr. Attorney General

Last / Business Name:	Holder
First Name:	Eric
Middle Name:	H.
Generation:	Jr.
Title:	
Party text:	US Attorney General

If the head of a US agency is sued in his/her individual capacity, the individual's name is added as a party.

Example: Michael J. Astrue, individually

Last / Business Name:	Astrue
First Name:	Michael
Middle Name:	J.
Generation:	
Title:	
Party text:	individually

GOVERNMENT ENTITIES – CITIES, COUNTIES, STATES, DEPARTMENTS AND BOARDS NAMES

When entering the name of a city, county, state, agency, board and department as a party, enter the name of the city, county, state, agency, board or department in the Last / Business Name field. Many cities, counties and states already exist as parties in ECF, so be sure to conduct a thorough name search before creating a new party.

Cities

If the litigant is a city, enter the key words "City of" followed by the name of the city in the Last / Business Name field.

Example: New York City

Last / Business Name:	City of New York
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	(Leave Blank)

Example: Chicago

Last / Business Name:	City of Chicago
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	(Leave Blank)

Counties

If the litigant is a county, enter the county followed by the key word "County" in the Last / Business Name field.

Example: County of Rockland

Last / Business Name:	Rockland County
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)

Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	(Leave Blank)

Example: County of Westchester New York

Last / Business Name:	Westchester County, New York
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	(Leave Blank)

States

If the litigant is a state, enter the key words "State of" followed by the name of the state in the Last / Business Name field.

Example: New York State

Last / Business Name:	State of New York
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	(Leave Blank)

Example: State of New York

Last / Business Name:	State of New York
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	(Leave Blank)

City, County and State Agencies, Boards and Departments

If the litigant is a city, county, state agency, board or department, drop the words "State of" or "County of" or "City of" from the Last / Business Name field and start the Last / Business Name field with the name of the state, county, or city.

Example: City of New York Fire Department

ent

Example: County of Westchester Department of Corrections

Last / Business Name:	Westchester County Department of Corrections
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	(Leave Blank)

Example: State of New York Department of Corrections

Last / Business Name:	New York State Department of Corrections
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	(Leave Blank)

JOHN DOE AND JANE DOE NAMES

When a defendant is unknown by the plaintiff and a pleading is drafted with names such as Doe, even though the case caption may list the parties separately, do not enter multiples and do not use the plural of Doe.

Example: John Doe

Last / Business Name:	Doe
First Name:	John
Middle Name:	
Generation:	
Title:	
Party text:	

Example: Jane Doe

Last / Business Name:	Doe
First Name:	Jane
Middle Name:	
Generation:	
Title:	
Party text:	

Example: John Does 1-10

Last / Business Name:	Doe
First Name:	John
Middle Name:	
Generation:	
Title:	
Party text:	1-10

Example: Ten John Does

Last / Business Name:	Doe
First Name:	John
Middle Name:	
Generation:	
Title:	

Party text: 1-10

Example: John Doe, as Trustee of the Mutual View Trust Fund

Last / Business Name:	Doe
First Name:	John
Middle Name:	
Generation:	
Title:	
Party text:	as Trustee of the Mutual View Trust Fund

Example: John Doe, as Trustee of the Mutual View Trust Fund

See the examples above for John Doe and Jane Doe.

MINORS LISTED AS PARTIES AND MINORS WITH REPRESENTATIVES NAMES

When adding a minor's name as a party, in compliance with federal rules, use only the initials to identify the minor, unless the court orders otherwise.

Minors Listed Individually

When the minor is listed individually, enter the initials in the Last / Business Name field, without periods. Enter the word "a minor" in the Party text field.

Example: Jane E. Smith, a minor

Last / Business Name: JES First Name: Middle Name: Generation: Title: Party text: a minor

Minors Listed with a Representative

When the minor is listed with a representative or representatives, enter the name of each representative and the minor's initials as a separate party. The "next friend," "guardian," or "personal representative," information is entered in the Party text field.

Example: Jane E. Smith, a minor child by Robert Smith, Parent and Next Friend

Last / Business Name: First Name:	JES (Leave Blank)
Middle Name:	(Leave Blank)
Generation:	
Title:	
Party text:	a minor child by Robert Smith, Parent and Next Friend
Last / Dusinass Nama:	Smith

Last / Business Name:SmithFirst Name:RobertMiddle Name:(Leave Blank)Generation:Title:

SDNY PARTY NAME DATA CONVENTIONS FOR CM ECF

Party text:

Parent and Next Friend of JES, a minor

MONETARY NAMES

When entering an amount of money as a party, enter the dollar amount in the Last / Business Name field only.

Example: Fourteen Thousand Six Hundred Sixty-Nine Dollars (\$14,669.00) in U.S. Currency

Last / Business Name:	\$14,669.00 in U.S. Currency
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: \$ 15,100.00 in U.S. Currency

Last / Business Name:	
First Name:	
Middle Name:	
Generation:	
Title:	
Party text:	

\$15,100.00 in U.S. Currency
(Leave Blank)
(Leave Blank)
(Leave Blank)
(Leave Blank)

Example: \$ 15,100.00, More or Less, In United States Currency

Last / Business Name: First Name: Middle Name: Generation: Title: Party text: \$15,100.00 in U.S. Currency
(Leave Blank)
(Leave Blank)
(Leave Blank)
(Leave Blank)
More or Less

PROCEEDS NAMES

When entering proceeds as a party, enter the proceeds name exactly as it appears on the pleading in the Last / Business Name field only. Exclude from the entry of proceeds names any leading "The."

Example: Proceeds of Health Care Fraud Transferred to Certain Domestic Bank Accounts and Accrued Interest in Wachovia Bank Account Number 123456789

Last / Business Name:	Proceeds of Health Care Fraud Transferred to Certain Domestic Bank Accounts and Accrued Interest in
	Wachovia Bank Account Number 123456789
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: The Proceeds From the Sale of 1000 Pounds of Gulf Shrimp

Last / Business Name:	Proceeds From the Sale of 1000 Pounds of Gulf Shrimp
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

RADIO STATION TRANSMISSION EQUIPMENT NAMES INFORMATION

When entering the radio station transmission equipment as a party, enter the information in the Last / Business Name field only, as shown below. If there is an address affiliated with the radio station transmission equipment, enter the address in the Party text field.

Example: Any and all radio station transmission equipment, radio frequency power amplifiers, radio frequency test equipment and any other equipment associated with or used in connection with transmissions on frequency 88.3 MHz, located at 3058 Third Avenue, New York, NY 10021

Last / Business Name:	Any and all radio station transmission equipment, radio frequency power amplifiers, radio frequency test equipment and any other equipment associated with transmissions on 88.3 MHz
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	located at 3058 Third Avenue, New York, NY 10021

REAL PROPERTY NAMES

When entering the names of real property or the proceeds from the sale of real property as a party, use the street address or the most descriptive part first in the Last / Business Name field only.

Example: One Parcel of Real Estate located at 23 Elm Street, New York, NY

Last / Business Name:	23 Elm Street, New York, NY
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	One Parcel of Real Estate

Example: A Single Family Residence located at 123 Main Street, White Plains, NY 10601, Parcel Control Number 12-34-56-78-90-000-123 including the appurtenances thereto and the improvements thereon

Last / Business Name:	123 Main Street, White Plains, NY 10601
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	A Single Family Residence Parcel Control Number 12-
	34-56-78-90-000-123 including the appurtenances
	thereto and the improvements thereon

Example: Proceeds From the Sale of Real Property located at 23 Elm Street, New York, NY

Last / Business Name:	23 Elm Street, New York, NY
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Example: Baruch College	

Last / Business Name:	Baruch College
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)

SDNY PARTY NAME DATA CONVENTIONS FOR CM ECF

Party text:Title:(Leave Blank)Party text:Proceeds From the Sale of Real Property

SCHOOLS, COLLEGES AND UNIVERSITIES

When entering the names of schools, colleges and universities enter the names of the schools, colleges and universities, in the Last / Business Name field only. If the name of the school, college and university is abbreviated, spell out the name of the school, college and university.

Example: Baruch College

Last / Business Name:	Baruch College
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: BMCC

Last / Business Name:	Borough of Manhattan Community College
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: CUNY

Last / Business Name:	City University of New York
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: Ardsley School

Last / Business Name:	Ardsley School
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: Albany School District

Last / Business Name: First Name: Middle Name: Generation: Title: Party text: Albany School District (Leave Blank) (Leave Blank) (Leave Blank) (Leave Blank)

UNION NAMES

When entering union names as a party, enter the official union name in the Last / Business Name field only as referenced in the pleading. If the union refers to "Trustees" in the name, include the word Trustees at the start of the name.

Example: International Brotherhood of Electrical Workers

Last / Business Name:	International Brotherhood of Electrical Workers
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: United Textile Workers Union

Last / Business Name:	United Textile Workers Union
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: International Brotherhood of Teamsters Local Union 810

Last / Business Name:	International Brotherhood of Teamsters Local 810
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	
Party text:	

Example: Local 810, International Brotherhood of Teamsters

Last / Business Name:	Local 810, International Brotherhood of Teamsters
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)

Party text:

Example: Trustees of International Brotherhood of Teamsters Local Union 810

Last / Business Name:	Trustees of International Brotherhood of Teamsters
	Local 810
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: James Smith, Mary Jones, Richard Lyons as Trustees of Local 123 Welfare, Pension, Annuity and Job Training Trust Funds

Last / Business Name: First Name: Middle Name: Generation: Title:	Smith James
Party text:	as Trustee of Local 123 Welfare, Pension, Annuity and Job Training Trust Funds
Last / Business Name: First Name: Middle Name: Generation: Title:	Jones Mary
Party text:	as Trustee of Local 123 Welfare, Pension, Annuity and Job Training Trust Funds
Last / Business Name: First Name: Middle Name: Generation: Title:	Lyons Richard
Party text:	as Trustee of Local 123 Welfare, Pension, Annuity and Job Training Trust Funds

UNKNOWNS

When entering unknowns as a party, enter unknown information in the Last / Business Name field only as referenced in the pleading.

Example: Unknown Agents

Last / Business Name:	Unknown Agents
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: Unknown Officers

Last / Business Name:	Unknown Officers
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	

Example: ABC Corp. (fictitious entity as true identity unknown)

Last / Business Name:	Unkno
First Name:	(Leave
Middle Name:	(Leave
Generation:	(Leave
Title:	(Leave
Party text:	

Jnknown ABC Corp. Leave Blank) Leave Blank) Leave Blank) Leave Blank)

VEHICLE NAMES INFORMATION

When entering the name of a vehicle as a party, enter year and the make of the vehicle in the Last / Business Name field only. If the VIN, license plate and color information for the vehicle is included, enter the VIN, license plate and color information in the Party text field.

Example: One 2010 Land Rover Vin. #ABCDE123456

Last / Business Name:2First Name:(Middle Name:(Generation:(Title:(Party text:Y

2010 Land Rover (Leave Blank) (Leave Blank) (Leave Blank) (Leave Blank) VIN ABCDE123456

Example: A red 2013 Toyota Camry bearing NY plate ABC123

Last / Business Name: First Name: Middle Name: Generation: Title: Party text: 2013 Toyota Camry (Leave Blank) (Leave Blank) (Leave Blank) (Leave Blank) Red, NY License Plate ABC123

Example: Lexus 2011, Silver, Model ES350 VIN HTBKL123456

Last / Business Name: First Name:	2011 Lexus (Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	Silver, Model ES350 VIN HTBKL123456

VESSEL NAMES INFORMATION

When entering the name of a vessel as a party, enter the name of the vessel as shown below in the Last / Business Name field only.

Example: M/V Sun Star, its engines, tackle, and appurtenances, in rem

Last / Business Name:	M/V Sun Star
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	its engines, tackle, and appurtenances, in rem

Example: M/V "Little Boat", her engines, boilers, tackle, ec.

Last / Business Name:	M/V Little Boat (without the quotation marks)
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	her engines, boilers, tackle, etc.

Example: One 25' Vessel Registered as TNL 2102 GE, its inventory and trailer

Last / Business Name:	One 25' Vessel Registered as TNL 2101 GE
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	its inventory and trailer

Example: Happy Halibut, M/V No. 54321

Last / Business Name:	M/V Happy Halibut
First Name:	(Leave Blank)
Middle Name:	(Leave Blank)
Generation:	(Leave Blank)
Title:	(Leave Blank)
Party text:	No. 54321

PARTY ROLE TYPE DEFINITION

The Role field on the Party Information screen is used to identify/describe a party's role in a case.

When a party name is selected from the list or a party name is created, a Party Information screen appears. This allows the user to enter pertinent party information. The Role field is one of the pertinent fields the user will need to complete. The Role field will default to Defendant. It is important that the user selects the appropriate Role type on the Party Information screen.

PARTY ROLE TYPE DEFINITION TABLE	
CODE	DESCRIPTION
dft	Defendant
drem	Defendant-in-Rem
fac	Facilitator
5pd	Fifth Party Defendant
5рр	Fifth Party Plaintiff
4pd	Fourth Party Defendant
4рр	Fourth Party Plaintiff
gar	Garnishee
garer	Garnisher
inre	In Re
ip	Interested Party
intpl	Interpleader
intpld	Interpleader Defendant
intplp	Interpleader Plaintiff
intv	Intervenor
intvd	Intervenor Defendant
intvp	Intervenor Plaintiff
Idpla	Lead Plaintiff
mw	Material Witness
med	Mediator
misc	Miscellaneous
mon	Monitor
mov	Movant
nmdft	Nominal Defendant

SDNY PARTY NAME DATA CONVENTIONS FOR CM ECF

PARTY ROLE TYPE DEFINITION TABLE	
CODE	DESCRIPTION
ntc	Notice Only
obj	Objector
par	Parent Corporation
payagnt	Paying Agent
pet	Petitioner
pla	Plaintiff
rc	Receiver
rf	Referee
rlfdft	Relief Defendant
res	Respondent
6pd	Sixth Party Defendant
брр	Sixth Party Plaintiff
sm	Special Master
tax	Taxpayer
3pd	Third Party Defendant
Зрр	Third Party Plaintiff
trust	Trustee

ALIAS TYPE DEFINITION

The Type field on the Alias Information screen is used to identify/describe the type of alias added for a party.

If a party has an alias, enter the name using initial caps and follow the party name data conventions for CM/ECF listed in this document, as if entering a regular party name. Once the party has been added, click on the Alias icon in the participant tree. You will get a screen that allows you to search for the alias. Enter the Last/Business Name and click on search. You may get possible matches. If one of the selections matches the alias, then click on it and then click on [Select Alias]. If there are no search results or none of the results match exactly the party name, then click [Create New Alias]. After either selecting or creating the alias you will get an Alias Information Screen. On this screen you should select the type of alias from the Type drop down menu. The menu options are:

ALIAS TYPE DEFINITION TABLE	
CODE	DESCRIPTION
agent	agent of
aka	also known as
ase	as subrogee of
asg	as assignee of
aso	as subrogor of
dba	doing business as
div	division of
exrel	ex relatione
fbo	for the benefit of
fdba	formerly doing business as
fka	formerly known as
nee	born
nka	now known as
none	none
оа	operating as (determine whether to include this in our database. Used in other countries.)
obo	on behalf of
other	other
pka	personally known as
rpi	real party in interest

SDNY PARTY NAME DATA CONVENTIONS FOR CM ECF

ALIAS TYPE DEFINITION TABLE	
CODE	DESCRIPTION
sii	successor in interest
sit	successor indenture trustee
suc	as successor to
ta	trading as

Example: Michael Jones, also known as "Mikey Fingers"

Last / Business Name: First Name: Middle Name: Generation: Title: Party text:	Jones Michael
Last/Business name: First name: Middle name: Generation: Type:	Mikey Fingers (without the quotation marks) aka

Example: Joan Watson, doing business as Watson Investigations

Last / Business Name: First Name: Middle Name: Generation: Title: Party text:	Watson Joan
Last/Business name: First name: Middle name: Generation:	Watson Investigations
Туре:	uba